U.S. DEPARTMENT OF AGRICULTURE Federal Grain Inspection Service P.O. Box 96454 Washington, D.C. 20090-6454 GRAIN INSPECTION HANDBOOK

Book IV

Chapter 1

1/1/91

CHAPTER 1 APPLICATION FORMS

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1.1
ORIGINAL
INSPECTION,
WEIGHING,
REINSPECTION
OR REVIEW OF
WEIGHING
SERVICES

Requests for original inspection or weighing services must be filed, orally or, in writing, with the agency or the Federal Grain Inspection Service (FGIS) field office responsible for the area in which the grain is to be sampled and weighed.

When agency or FGIS personnel require it, oral requests for inspection or weighing services on grain in land carriers or barges must be confirmed in writing using Form FGIS-907, "Application for Inspection and Weighing of Grain in the United States and Canada." This form may be obtained from any FGIS field office. Agencies may develop application forms that suit their needs provided all of the required information is shown. Applications shall include the following information:

- A. Identification, quantity, and the specific location of the grain (if known);
- B. Name and mailing address of the applicant;
- C. Kind (scope) of inspection or weighing service desired;
- D. Signature of applicant or authorized agent; and
- E. Any additional information which may be required in specific cases by the agency performing the service.

Book III, chapter 2, of the Grain Inspection Handbook requires that the applicant for official inspection and weighing services provide official personnel a complete load order document before loading combined lots or shiplots.

Requests for services must be accompanied by a load order document specifying (1) the exact grade 1/ including the percentage of dockage, if any, specified in the sales contract; (2) the approximate quantity of grain in the lot; (3) the sublot size; (4) the specified protein information, when applicable; (5) any special requirements, such as aflatoxin analysis, zero infestation, or other maximum or minimum limits for factor determinations; (6) the mailing address when copies of the shiploading log are requested; and (7) any other specific requirements. The document must be signed by the individual issuing the load order.

Requests for reinspection or review of weighing services must be filed with the FGIS field office or agency that conducted the original service.

Requests for inspection or weighing services may cover one or more identified lots or submitted samples, a number of identified lots that are to be shipped from or to a specified location during a specified time period, or all lots shipped from or to a specified location or person.

^{1/} A load order grade is not required to be declared prior to loading if the applicant either does not know the exact grade to be loaded or plans to load grain of different quality without cutoffs or separations (e.g., barge-to-ship operations). When a load order grade is not declared, certificate the lot to the best, uniform grade. If the lot is not uniform for any grade, combine the sublots of the same grade and certificate them together as individual lots.

1.2
APPEAL
INSPECTION OR
BOARD APPEAL
INSPECTION
SERVICES

Interested persons may request an appeal inspection from the field office that conducted the original inspection or reinspection service or, in the case of services conducted by an agency, the supervising field office.

Interested persons may request a Board appeal inspection service from the Board of Appeals and Review (BAR) or the field office that conducted the appeal inspection service.

Requests for appeal inspections may be filed orally or in writing. However, upon request, oral requests shall be confirmed in writing by submission of a Form FGIS-908, "Application for Appeal Inspection or Board Appeal Inspection." Applications are furnished, upon request, by FGIS field offices.

- A. <u>Appeal Inspection Service</u>. Appeal inspections can be based on a new sample or file sample and are limited to the kind and scope of the original inspection or reinspection service.
- B. <u>Board Appeal Inspection</u>. Board appeal inspections are based on a review of file samples submitted by the field office that conducted the appeal inspection and are limited to the kind and scope of that inspection.

An appeal inspection or a Board appeal inspection for official criteria or official factors and/or grade may be considered independent when determining the kind and scope of the inspection service.

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FORM FGIS-907, "APPLICATION FOR INSPECTION AND WEIGHING OF U.S. GRAIN IN THE UNITED STATES AND CANADA"

на принципальной services may be approved unless	а сотняеми вропьвногі тогні Па	v Deen received (7	034/11			ежритатия Date 9-30-90
US DEPARTMENT OF FEDERAL GRAIN INSPECTION FOR INSPECTION	AGRICULTURE ECTION SERVICE ON AND WEIGHING O	F U.S.	Public reporting bur- average 17 hours pr instructions, search the data needed an comments regarding rolles upon of inform	den for this corresponse, is no existing did completing this burden stion include	ollection of info ncluding the fir ata sources, gat and reviewing estimate or an- no suggestions	ormation is estimated to ne for reviewing hering and maintaining the form Send so their aspects of this for reducing the m 404-W, Washington, mber and Form Number
GRAIN IN THE UNITED S	TATES AND CANADA	,	burden, to USDA, O UC 20255 When re in your letter	IAM, Clearan piying refer	ce Officer Hoo to the OMB Nor	m 404-W, Washington, mber and Form Nomber
Pursuant to Section 7 and 7s of the United Sta 800 et seq.1, we hereby apply for an inspection	tes Grain Standards Act asia as described below:	mended (7 U.S.C				
TYPE OF SERVICE REQUESTED ("X" one)			WHERE TO	BE INSPECT	ED ("X" one)	
Original Inspection Reinspection		Supervision of Wax	ghung [In the U	nited States	In Canada (2)
KIND (Scope) OF OFFICIAL INSPECTION SERVICE ("X" on Official Sample-Lot Inspection	" (3) □ Samo	vline:			INSPE	CTION UNDER LER CRITERIA ("X" one) 4
Type Sample-Lot Inspection	- -	age Examination (For U.S. Grain)			<u> </u>
Submitted Sample Inspection	=	Closely Related			l n	Protein
Quality Information Inspection	Office:	al Weighing			1 🗂	Other
Checkweighing or Checkloading Sack	ed Graun 📋 Supe	rvision of Weight	ng		-	
TYPE OF GRAIN 5	rc	CATION OF GRAIN	6)		
A COURT OF COURT			APPROXIMATE	_US	E FOR REI	NSPECTIONS ONLY
CARRIER, STOWAGE, OR OTHER LOT IDENTIFICATION	CONTRACT GRA	DÉ	QUANTITY		(* one)	Oate of Orig. Insp. (Mo., Day, Yr.)
				- I <u>⇒</u>	e Sample w Sample	
1. REMARKS (12) -	<u> </u>			1		·-·
			· · · · ·	Fil	e Sample	· · · · · · · · · · · · · · · · · · ·
2	<u>. </u>			No.	sigma2 w-	
4- REMARKS						
					e Sample ew Sample	
3. REMARKS				•		
	FOR INSPECTIONS					
We certify that the grain was produced in States to countries other than Canada an	the United States and is not that the stowage space (oving through or which exami	Canada in the contion is reques	ourse of und is for	exportatio United St	n from the United ates grain.
EX-U.S. PORT	DATE LOADED	EX-SHIP, BARGE	OR VESSEL		DA	TE UNLOADED
(13)	(Ma., Day, Yr.)		(15)		'	(16)
NAME AND COMPLETE MAILING ADDRESS OF APPLIC	ANT (Firm Name)	NAME AND COM	PLETE MAILING ADD	RESS OF IN	TERESTED PE	RSON (Il none, so state)
NAME AND COMPLETE MALENTA ASSAULTS OF A 1 AS	and the second			$\overline{}$		
(17)				(18)		
WARNING: Attempts to influence any offi	cial personnel with respect to	the performance	e of his/her dutie	s under th	e U.S. Gran	Standards Act may,
upon conviction thereof, he subject to impris	onment for not more than 5)	vears and/or a fin	e of not more that spection persons	n \$20,000 el.	. The one	ite or any gracement and
In submitting this application, we expressly by us in accordance with the fees and charge	es described in the regulation	SIGNATURE OF	eg.) under the U	nited Stat	es Grain St	andards Act.
NAME AND COMPLETE MAILING ADDRESS OF AGENT	(и аррисали)	SAGINTURE OF A		20		
(19)		NAME OF FIRM	(21)		0.4	TE (Mo. Day. Yr.)
	FO	R USE BY FGIS			 -	
APPLICATION RECEIVED		CATE NO(S)	REMARKS		_	
	24)	28			(29)	
FIELD OFFICE LOCATION (COV) (25) FEES (26) CHARGES 27)					
LANGE COLD DO 7 (4-80)						

Instructions for Completing Form FGIS-907, "Application for Inspection and Weighing of U.S. Grain in the United States and Canada"

- A. Action by Applicant. Complete items (1) through (2) in quadruplicate. Send the original and first copy to the appropriate FGIS field office and retain the second and third copies for your records.
- Check the box for the services needed. More than one box may be checked if a combination of services is requested on all carriers identified in item (7); i.e., original and reinspection, original inspection, and official weighing, etc. Otherwise, prepare separate applications.
- 2 Check the appropriate box to indicate whether the service is to be performed in the United States or Canada.
- 3 Check the box indicating the kind and scope of service being requested.
- 4 If an inspection under other criteria is requested, check the appropriate box.
- (5) Enter the type of grain to be inspected.
- 6 Enter the specific location of the grain at the time of application. For applications filed in advance, show where the grain is to be loaded or unloaded. If all of the inspections identified in item 7 are not at the same location, prepare a separate form FGIS-907 for each location.
- The enter the complete name and/or number of the carrier. Identify a barge by name, number, and any lettered prefixes and suffixes; a railcar by its initials and number; a truck or trailer by license number and name or abbreviation of State (include time of sampling, when necessary); and a vessel by its name preceded by its means of propulsion (M/T, M/V, S/S, etc.).
- 8 For inspections during loading, enter the contract grade along with any special grade or other contract requirements. This information is not applicable to carriers that are to be inspected at rest.

- 9 Enter the approximate quantity in terms of pounds or bushels. For submitted samples, enter the approximate weight of the sample.
- When a reinspection is requested, check the box indicating the type of sample required.
- When a reinspection is requested, enter the date the original inspection was performed.
- (12) Enter additional information as necessary.
- Complete items (13) through (16) for Canadian inspections only.
- Enter the name of the U.S. port where the grain was originally loaded.
- Enter the date the loading was completed in the U.S. port.
- Enter the name of the ship, barge, or vessel from which the grain was unloaded. Identify a barge by name, number, and any lettered prefixes and suffixes; identify vessel by name preceded by its means of propulsion (M/T, M/V, S/S, etc.).
- Enter the date that unloading was completed in Canada.
- Enter the complete firm name and mailing address of the applicant. The applicant will receive the original certificate(s) and will be assessed the fee(s) and charge(s).
- Enter the complete name and mailing address of interested persons if any.
- If the application is submitted by an agent for the applicant, enter the complete firm name and mailing address of the agent.
- Sign the application. Signatory may be the applicant, an employee, or an agent.
- Enter the name of the firm that is requesting the service (should correspond with signature(s) in item (20)).

- (22) Enter the date the application was prepared.
- B. Action by Field Office. Review form FGIS-907 for completeness. If incomplete, either return the form to the applicant for completion or insert and initial the missing information. Complete items (23) through (29)
- 23) Enter the date the application was received.
- Enter the time the application was filed and check a.m. or p.m.
- (25) Enter the name of the field office.
- (26) Enter the applicable fee(s).
- (27) Enter the applicable charge(s).
- Enter the inspection certificate number(s) including the lettered prefix.
- (29) Enter any additional pertinent information.

FORM FGIS-908. "APPLICATION FOR APPEAL INSPECTION OR BOARD APPEAL INSPECTION"

No application for service m	ay be approved unless a	completed application form has been re	(Icelved (7 U.S.C. 79)		EXPIRATION DAT	F 8/30/90
APPLIO APPE	US DEPART FEDERAL GR CATION FOR A AL INSPECTIO	MENT OF AGRICULTURE AIN INSPECTION SERVICE PPEAL INSPECTION OR N AND REVIEW OF WEI States Grain Standards A	BOARD GHING	estimated to am for reviewing insignathering and if gathering and if reviewing the to estimate or any including sugge- including sugge-	burden for this collection wage 17 hours per respon tructions, searching exists auntaining the data neede rm. Send comments regar other aspects of this collections stone for reducing the bur w. Room 404-W, Washingti the OMB leumber and Por	se, including the time ing data sources, d, and completing and ding this burden ction of information, den, to USDA, OIRM, on DC, 20250, When
et seq.), we hereby app	ly for a service as de	States Grain Standards Act as acribed below:	mended (7 U.S.C. 7	9 and 79a) and 1	he regulations thereu	nder (7 CFR 800
TYPE OF SERVICE REQUEST Appeal Inspection	n (Board Appeal line	Decison		Review of Weigh	ung
TYPE OF INSPECTION OR Y Original Inspection	VEIGHING SERVICE BEIN		on (2) 🔲 Office	cial Weighing	Supervis	uon of Weighing
KIND (Scope) OF OFFICIAL Official Sample - Lo Type Sample - Lot Is Warehouse Sample	t Inspection respectator	REVIOUSLY PERFORMED: (Check One) Submitted Samp Quality Informtion Checkweighing of Sampling		3 [Stowage Examination Other Closely Related 5 Inspection Under Other	Services
Has a request for an A or Review of Weighin office on any of the lot	g Service been filed:	oard Appeal Inspection with any other field d below?	Appeal Insp	v in "Remarks" t ection," a "Board as requested.	he location of field of Appeal Inspection,"	ice and whether an or "Review of
LOCATION OF GRAIN (8e :		5)	DRDERED TO	(6)		
CAR INITIALS AND NUMBER OR OTHER IDENTIFICATION	QUANTITY (Carpos) OR MARKED CAPACITY PER CARLOT OR PART CARLOT	KIND OF GRAIN AND REASON FOR APPEAL 1/ OR REVIEW	REQUESTED SAMPLE BASIS (Check) OFFI- CIAL NEW FILE	DATE 2/ OF ORIGINAL SERVICE	CHECK IF ORIGINAL CERTIFICATE FOR THE SERVICE IS ATTACHED	REMARKS
7	- 8	9	10	11)	12	13)
2/ Show only date WARNING: Attemp conviction thereof be in 7 CFR 800.187, wi	to inspection be- ta to influence any o- subject to imprison. Il be deemed an atte- polication, we express 0) under the United rtificate number or	in question. For requests a sing appealed or weighing se fficial personnel with respect to ment for not more than 5 years ampt to improperly influence off saly agree that the service feels that it is a state of rain Standards Act and identification of grain.	rvice being review the performance of h ind/or a fine of not me cial inspection perso and charge(s) for ove the assessable to an 15	now the scope ed. is/her duties und ore than \$20,000 nnel. rtime, standby t id will be paid by	of the inspection in ler the U.S. Grain State. The offering of any time, and travel time	ndards Act may upor gratuity, as described described in the our billing be
DATE OF APPLICATION	PPLICANT OR AGENT	_	9			
18	(19				
			E BY FGIS			
PIECO OFFICE 22	4)	CERTIFICATE NO(S)		RÉMARKS	3	

CAR INITIALS AND NUMBER OR OTHER IDENTIFICATION	QUANTITY (Cargos) OR MARKED KII	KIND OF GRAIN AND	REQUESTED SAMPLE BASIS (Chock)		DATE 2/	CHECK IF ORIGINAL CERTIFICATE	REMARKS
OTHER IDENTIFICATION	CAPACITY PER CARLOT OR PART CARLOT	KIND OF GRAIN AND REASON FOR APPEAL 1/ OR REVIEW	OFFI- CIAL FILE	NEW	DATE 2/ OF ORIGINAL SERVICE	FOR THE SERVICE IS ATTACHED	REMARKS
							
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^{1/} List factor(s) and/or other criteria in question. For requests filed in advance, show the scope of the inspection in question.
2/ Show only date of inspection being appealed or weighing service being reviewed.

FORM FGIS-908 REVERSE

<u>Instructions for Completing Form FGIS-908, "Application</u> <u>for Appeal Inspection or Board Appeal Inspection"</u>

- A. <u>Action by Applicant</u>. Complete items 1 through 19 in triplicate. Send the original and first copy to the appropriate FGIS field office or the BAR when a Board appeal is requested. The second copy may be retained for your records.
- Check the box for the level of service(s) being requested. More than one box can be checked if an appeal inspection, Board appeal inspection, or review of weighing service is desired on all of the carriers identified in item (7); otherwise, prepare a separate form FGIS-908.
- 2 Check the box representing the level of service(s) being appealed. Only one box may be checked per application. If all of the services identified in item (7) are not of the same level, prepare a separate form FGIS-908.
- 3 Check the box indicating the kind and scope of service(s) being reviewed. If all of the inspections identified in item 7 are not of the same kind and scope, prepare a separate form FGIS-908.
- Check the applicable box to indicate whether a request for an appeal inspection, Board appeal inspection, or review of weighing service has been filed with another FGIS field office. If yes, show in item (13) the name of the field office and whether the request was for an "appeal inspection," "Board appeal inspection," or "review of weighing service."
- 5 Enter the specific location of the grain at the time of application; e.g., elevator or track location in rail yard. If the specific location is different for each container, show the location in item (13)
- NOTE: Applicants shall notify the field office of the new location when the location shown in either item 5 or 13 has changed. For applications filed in advance, enter where the grain is to be loaded or unloaded. (This item does not apply to submitted sample inspections.)

- If a lot is to be moved, enter the location where the grain will be available for sampling. (This item does not apply to submitted sample inspections.)
- 7 Use the lot, carrier, or submitted sample identification shown on the certificate for the service in question. Identify a barge by name, number, and any lettered prefixes and suffixes; a railcar by its initials and number; a truck or trailer by license number and name or abbreviation of State (include time of sampling when necessary); and a vessel by its name preceded by its means of propulsion (M/T, M/V, S/S, etc.).
- 8 Enter the quantity in terms of bushels, pounds, weight loaded or unloaded, or to be loaded or unloaded for cargos. For a lot of sacked grain, also enter the type, number, and weight of sacks; e.g., 6000, 100-lb. cotton sacks. For a truckload or trailerload, show truckload, trailerload, part-truckload, or part-trailerload as the case may be. For a railcar, enter the marked capacity of the carrier or "over 130,000 lbs." or "under 130,000 lbs." as the case may be. For submitted samples, enter the approximate weight of the sample.
- 9 Enter the kind of grain and the reason for the appeal or review; e.g., the grade determining factors or other criteria. For requests filed in advance, show the kind of grain and the contract grade.
- Enter the sample basis desired for the appeal inspection. All Board appeal inspections will be performed on the basis of the official file sample.
- Enter the date of the original service. For applications filed in advance of loading, enter the expected date and time of loading if possible.
- Indicate whether the original certificate for the inspection being appealed is attached. If the certificate is not attached, explain in item (13)
- (13) Enter any additional pertinent information.
- Check the box if additional entries for items 7 through (13) are made on reverse side.

- (15) Check the box indicating the desired method for itemizing the applicable fee(s) and charge(s) on billing documents.
- Enter the complete firm name and mailing address of the applicant. (An applicant is an interested person, agent, or firm that requests an appeal inspection, Board appeal inspection, or review of an original weighing service.) The applicant will receive the original appeal certificate(s) and assess the applicable fee(s) and charge(s).
- Enter names and mailing addresses of interested persons, if any. If there is no interested person, enter "none." Interested persons will receive a copy of each certificate.
- 18) Enter the date that the application was prepared.
- Sign the application. Signatory may be the applicant, an employee, or an agent.
- B. Action by Field Office or BAR. Review form FGIS-908 for completeness. If incomplete, either return to the applicant for completion or insert the missing information and initial as appropriate. Complete items (20) through (24).
- 20 Enter the date the request was filed in writing with the field office.
- (21) Enter the time the request was filed with the field office and circle a.m. or p.m. as applicable.
- Enter the appeal and/or Board appeal inspection certificate number and lettered prefix. If both certificate numbers are being shown, identify the numbers under the headings "appeal" and "Board appeal."
- Enter any additional pertinent information. This space may be used to continue a list of certificate numbers from item (22).
- (24) Enter the name of the field office.